Reporting under the WHO FCTC

- The process
- The reporting instrument
- Reporting-related tools

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Overview of the presentation

- Part I
  - Reporting under the Convention (timelines, reporting instrument)

- Part II
  - Reporting-related tools and publications
    - WHO FCTC Indicator Compendium
    - Glossary of terms
    - Global progress reports
    - E-learning module on FCTC reporting (under preparation)

- Part III
  - Harmonization with data collection initiatives of WHO and assistance to Parties
Part I
Reporting timelines

- Article 21 of the Convention:
  - Obligation to report periodically on implementation to the Conference of the Parties (COP)
  - The frequency and format of reports determined by the COP
  - Assistance to (developing country) Parties, upon request

- COP decisions on reporting timelines:
  - FCTC/COP4(16) – introduced the new, biennial reporting cycle, harmonized with COP sessions
Reporting timelines (cont’d)

Networking
Data collection
Report preparation

In country process

Report submission
1 Jan – 31 Mar 2018
The FCTC reporting instrument

- Consists of **two** elements:
  - Core questionnaire
    - Format changed in 2016 – fully Internet-based (Lime Survey), however flexible to the needs of the Parties
  - Additional questions on the use of implementation guidelines adopted by the COP
    - Internet-based questionnaire since 2014
    - Was updated for the 2016 reporting period
# Core questionnaire

<table>
<thead>
<tr>
<th>Item</th>
<th>Characteristics</th>
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<tbody>
<tr>
<td>Frequency of reporting</td>
<td>Every second year (2016, 2018, …), in designated reporting periods</td>
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<td>Submission deadline</td>
<td>By the end of the designated reporting period (not later than six months before the next regular COP session)</td>
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<tr>
<td>Instrument</td>
<td>- Questionnaire in Lime Survey format&lt;br&gt;- Invitations to complete are sent by email to registered focal points&lt;br&gt;- To be submitted online&lt;br&gt;- Step-by-step instructions</td>
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<td>Mandatory or optional questions</td>
<td>All questions are mandatory (except the open ended questions on the use of guidelines)</td>
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<td>Analysis of reports</td>
<td>Global progress reports; last report published in 2016, next report due in 2018</td>
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Additional questions on the use of COP guidelines

- Developed under the mandate given by COP5
- Aimed at collecting information on the use of guidelines and on Parties’ implementation of the recommendations therein
- Internet-based
- It is voluntary! BUT: any information is welcome
- Preferred submission: together with the core questionnaire
<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
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<tbody>
<tr>
<td>A.</td>
<td>Origin of the report</td>
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<td>B.</td>
<td>Tobacco consumption, and related health, social and economic indicators</td>
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<td>C.</td>
<td>Legislation, regulation and policies</td>
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<td>D.</td>
<td>International cooperation and assistance</td>
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<td>E.</td>
<td>Priorities and comments</td>
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Part II
Step by step instructions

http://www.who.int/fctc/reporting/reporting_instrument/

- **Aim:** to assist Parties in completing the core questionnaire
- **Content:** follows the structure of the questionnaire
  - Provides links to basic documents (treaty and guidelines)
  - Provides general observations as well as specific recommendations
  - Contains definitions of key terms used in the core questionnaire in an Annex
- **TIP:** Follow it while completing the questionnaire!
WHO FCTC Indicator Compendium
http://www.who.int/fctc/reporting/Compendium

- Developed under the mandate given by COP5
- Explains the indicators used in the core questionnaire of the reporting instrument
- Use of the Compendium, as reference for FCTC specific indicators
  - To guide national data collection, by promoting the use of FCTC indicators in national surveys
  - For example, countries’ national statistical offices use and integrate these indicators in their data collection systems.
WHO FCTC Indicator Compendium

This Indicator Compendium was developed in response to the mandate given to the Convention Secretariat by the fifth session of the Conference of the Parties (decision FCTC/COP5(11)).

The Compendium is aimed at facilitating access to treaty-specific indicators and their usage by Parties. The Compendium may also be utilized for data collection and epidemiological surveillance of tobacco use and related social, economic and health indicators within countries, in addition to further facilitating exchange of information on these indicators at both regional and global levels, in line with Parties’ obligations under Articles 20.3 and 20.4 of the Convention.

This is the first edition of this Compendium and is proposed for use by the Parties in the 2014 reporting cycle. Any feedback, comments and suggestions will be appreciated both before and at the sixth session of the Conference of the Parties, and they will be taken into account for any further work on the Compendium.

Questions or suggestions concerning the content of the Compendium can be addressed to the Convention Secretariat at: copreporting@who.int
Glossary of terms
http://www.who.int/fctc/reporting/glossary_fctc/

- Registry of definitions provided in the Convention and any implementation guidelines adopted by the COP
- Help promoting standard definitions for the items covered in the Convention at country level – can be used in national legislations, regulations, policies "use of terms" sections
- Help understanding the indicators used in the reporting instrument
Glossary of terms used in the WHO FCTC and its instruments

An inventory of terms and definitions contained in the Convention and the implementation guidelines, as foreseen in document FCTC/COP/5/14 considered at the fifth session of the Conference of the Parties (COP), has been published.

The step-by-step instructions document, which accompanies the reporting instrument of the Convention, will also include this glossary as an annex.

The glossary is expected to further promote the use of the terms and their definitions contained in the Convention, the Protocol to Eliminate I illicit Trade in Tobacco Products, and the implementation guidelines adopted by the COP. The glossary is expected to be useful in the development of normative documents and implementation work by the Parties, such as the development of national legislation or tobacco control strategies and action plans.

The glossary will be updated regularly as and when new definitions emerge from the development of treaty instruments in the future.
Global progress reports
http://www.who.int/fctc/reporting/summary_analysis/

- Serves the purpose of shared learning
- Prepared by the Convention Secretariat and its partners for each COP session
- Short format (COP-paper) and long, full version
- Provides an update of the progress made globally in the implementation of the Convention based on the latest biennial implementation reports of Parties
- They can be used as resource for promoting stronger national policies, regulations, legislations
Global progress reports

The Convention Secretariat prepares, on a regular basis, global progress reports on the implementation of the Convention.

In accordance with decision FCTC/COP1(14), the Convention Secretariat prepared and submitted to the COP four global progress reports on the implementation of the Convention. The reports can be accessed from the links below.

Starting from 2012, global progress reports are prepared on a biennial basis in line with the new reporting cycle and submitted for the consideration of each regular session of the COP (Decision FCTC/COP4(16)).

- Global progress report 2016
  - pdf, 2.22Mb
- Main findings of the 2016 Global progress report on implementation of the Convention. PowerPoint presentation for use by interested Parties
  - pptx, 6.57Mb
- Global progress report 2014
  - pdf, 2.67Mb
- Global progress report 2012
  - Main findings of the 2012 Global progress report on implementation of the Convention. PowerPoint presentation for use by interested Parties
  - pptx, 1.81Mb
Resources

- The Convention Secretariat’s website
  http://www.who.int/fctc
- Section on “Reporting”
  http://www.who.int/fctc/reporting
- WHO FCTC Implementation Database
  http://apps.who.int/fctc/implementation/database/
  (Upcoming: http://untobaccocontrol.org/impldb/)
Communication on reporting

- Email any new information on your implementation of the Convention to: copreporting@who.int
- @tibor_szilagyi – twitter account regularly informs about Parties achievements and FCTC progress
- @FCTCoffice – Facebook and Twitter pages
- Mailing list of FCTC technical focal points
- Discussion forum for FCTC technical focal points on reporting (in preparation)
Part III
Harmonization with WHO data collection initiatives

- Decision FCTC/COP4(16) – Update and harmonization of reporting arrangements under the WHO Framework Convention on Tobacco Control

“(3) to invite WHO to use the data received through Parties’ implementation reports as a principal source of information for relevant surveillance and monitoring activities, avoiding the use of a parallel international system for regular collection of data concerning tobacco control;”
Harmonization … (cont’d)

- Progress has been made in harmonizing the WHO FCTC reporting instrument and WHO MPOWER, BUT much is still to be done.
  - It is a subset of measures required under the FCTC.
  - Creates confusion among the Parties (as does the “best buys” approach).
  - The related data collection system, that results in the biennial Global Tobacco Control Reports, imposes additional reporting burden on Parties.
  - Parties confound MPOWER-related data collection with their reporting obligation under the Convention. Some of the Parties do not submit their FCTC implementation reports, because they wrongly assume that reporting to WHO replace or repeal the obligation of completing official FCTC implementation reports.
Assistance to Parties in reporting

- Individual assistance, upon request
- Written communication to Parties
- Reminding Parties on their reporting obligations at various meetings, workshops and missions
- Workshops specifically targeted at Parties that need assistance in reporting